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27123 7590 11/05/2004

MORGAN & FINNEGAN, L.L.P.
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| (Depositor's name) |
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| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/804,214 | 03/12/2001 | Zhiqiang Alex He | 0444-4069 | 2127 |

TITLE OF INVENTION: NOVEL ACID FUNCTIONAL POLYMER DISPERSANTS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1370 | \$300 | \$1670 | 02/07/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------------|----------|----------------|
| CAIN, EDWARD J | 1714 | 528-332000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Morgan & Finnegan, LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

King Industries, Inc.

PO Box 588, Science Road, Norwalk, CT 06852

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Maria C.H. Lin
Maria C.H. Lin

Date

November 23, 2004

Typed or printed name

Registration No. 29,323

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Docket No. 0444-4069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zhiqiang Alex He
Serial No. : 09/804,214
Filed : March 12, 2001
For : **NOVEL ACID FUNCTIONAL POLYMER DISPERSANTS**
Group Art Unit : 1714
Examiner : Edward J. Cain
Notice of Allowance : November 5, 2004
Confirmation No. : 2127

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: **EV 455 192 093US**

Date of Deposit: **November 23, 2004**

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Susan Shen-Frattura

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(Signature of person mailing paper(s) and/or fee)

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